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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/805,063		
	Filing Date	March 19, 2004	
	First Named Inventor	HENSON	
	Group Art Unit	Not Yet Assigned 3624	
Examiner Name	Not Yet Assigned		
Total Number of Pages in This Submission		Attorney Docket Number	0516625.0101

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Combined Declaration and Power of Attorney <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration of Mailing by Express Mail <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard US Patent Application Publications 2004/0024671 & 2003/0204459 US Patent 6,154,730
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stephen C. Glazier (Registration No. 31,361) Kirkpatrick & Lockhart LLP		
Signature			
Date	2 June 04		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 6-8-04			
Typed or printed name	Sadie Bone		
Signature		Date	6-8-04

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HENSON et al.

Group Art Unit: ~~Not yet assigned~~ 3624

Application No.: 10/805,063

Examiner: Not yet assigned

Filed: March 19, 2004

Atty Dkt. No. 0516625.0101

Title: **REVENUE SHARE INTEREST METHOD OF FINANCING AN ASSET
MANAGEMENT FIRM**

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INFORMATION DISCLOSURE STATEMENT

The Honorable Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313

Sir:

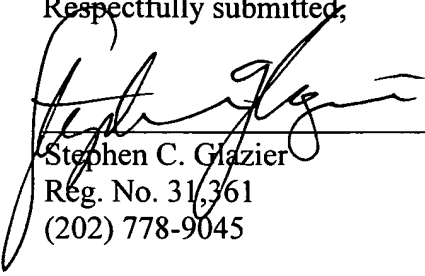
Attached is Form PTO-1449 listing the enclosed documents. Citation of a document does not necessarily constitute an admission that the document is prior art to the present application.

This Information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

Should a first action on the merits have been issued on the same day or before this IDS is filed, please accept this IDS under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1721, under the above Atty Dkt. No., and proceed to consider this IDS.

Consideration of the foregoing and the return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP §609, along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,



Stephen C. Glazier
Reg. No. 31,361
(202) 778-9045

Date: _____

7 June 04

Kirkpatrick & Lockhart LLP
1800 Massachusetts Ave., N.W.
2nd Floor
Washington, DC 20036
(202) 778-9000
Fax: (202) 778-9100

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/805.063
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<i>Filing Date</i>	March 19, 2004
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<i>First Named Inventor</i>	HENSON
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Art Unit	Not yet assigned
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Examiner Name	Not yet assigned
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Attorney Docket Number	0516625.0101
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U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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